

10-01-01

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Attorney's Docket No. 498-192

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box: PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

NONPROVISIONAL PATENT APPLICATION TRANSMITTAL

Sir:

Transmitted herewith for filing is the patent application of:

First Named Inventor (or Inventors):

**GOLDS, Ellen, a citizen of the United States of America**  
**32 South Drive, Hastings-on-Hudson, NY 10706**

Title of Application:

**TUBULAR STRUCTURE/STENT/STENT SECUREMENT MEMBER**

**1. Type of Application (37 C.F.R. 1.53(b))**

This application is a(n):

- ☐ Original (nonprovisional) application.  
☒ Continuing application:  
☐ Divisional      ☒ Continuation      ☐ Continuation-in-Part (CIP)

of Serial No. 09/487,943, filed on January 19, 2000.

**CERTIFICATION UNDER 37 C.F.R. 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date, September 28, 2001, in an envelope as "Express Mail to Addressee" Mailing Label Number ET767843268US, addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Barbara Kemmlein  
Name of person mailing paper

  
Signature of person mailing paper

(Application Transmittal Page 1 of 6)

09/28/01  
1011 U.S. PTO

JC857 U.S. PTO  
09/966832  
09/28/01

09/28/01 09/28/01

09/487,943

2. **Benefit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120, or 121)**

- ☒ This new application claims the benefit of prior U.S. application(s).
- ☒ Please amend the specification by inserting, after the title, the following:
- ☐ "This application claims the benefit of U.S. Provisional Application No. \_\_\_\_\_, filed on \_\_\_\_\_."
- ☒ "This application is a  
☒ continuation  
☐ continuation-in-part  
☐ divisional  
of copending application  
☒ Serial No. 09/487,943, filed on January 19, 2000."  
☐ International Application No. \_\_\_\_\_, filed on \_\_\_\_\_, and which  
designated the U.S."
- ☐ A Preliminary Amendment is enclosed amending this application to state the  
relation of this application to prior applications.
- ☐ The relation of this application to prior applications is stated in the application.

3. **35 U.S.C. 119(a)-(d) or 35 U.S.C. 365(a)-(b) Foreign Priority Claim for Prior Application**

This application, and prior U.S. application(s), including any prior International Application designating the U.S., identified above in item 2, claim(s) priority from one or more foreign applications as follows:

| (Country) | (Application No.) | (Filing Date)<br>(mm/dd/yyyy) |
|-----------|-------------------|-------------------------------|
|           |                   |                               |
|           |                   |                               |
|           |                   |                               |

- ☐ Please amend the specification by inserting, after the title, the following:
- ☐ "The application claims priority from Application No. \_\_\_\_\_ filed on \_\_\_\_\_."

Certified copy(ies) of the application(s) from which priority under 35 U.S.C. 119 is claimed:

- ☐ has(have) been filed on \_\_\_\_\_, in prior application \_\_\_\_\_, which was filed on \_\_\_\_\_.
- ☐ is (are) enclosed.
- ☐ will follow.

4. **Enclosed Papers Required to Obtain Application Filing Date under 37 C.F.R. 1.53(b)**

12 Pages of Specification  
1 Pages of Claims  
1 Pages of Abstract  
8 Sheets of Drawings ☒ Formal ☐ Informal

5. **Oath or Declaration**

- ☐ Newly executed Oath or Declaration (original or copy) is enclosed.
- ☒ Copy of Oath or Declaration from prior continuation or divisional application **09/487,943** (37 C.F.R. 1.63(d)).
- ☐ The entire disclosure of the prior application, from which a copy of the oath or Declaration is supplied, is considered as being a part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☒ A Power of Attorney is included in the Oath or Declaration.
- ☐ Not enclosed.

6. **Additional Papers Enclosed**

- ☒ Return Receipt Postcard (specifically itemized) (M.P.E.P. § 503).
- ☐ Application Data Sheet (Voluntary under 37 C.F.R. 1.76).
- ☐ Preliminary Amendment.
- ☐ Information Disclosure Statement (37 C.F.R. 1.98).
- ☐ Form PTO-1449 ☐ Copies of IDS Citations
- ☐ Nucleotide and/or Amino Acid Sequence Listing computer-readable copy, paper copy, and statement verifying identity of computer-readable and paper copies.
- ☐ Certified Copy of Priority Document(s).
- ☐ Verified translation of non-English language application (37 C.F.R. 1.52(d)).
- ☐ Other: \_\_\_\_\_.